

Date: October 09, 2017

To,


The Secretary BOMBAY STOCK EXCHANGE LIMITED P J Towers Dalal Street, Fort, Mumbai 400 001	NATIONAL STOCK EXCHANGE OF INDIA LIMITED Listing Department Exchange Plaza, 5 th Floor, Bandra-kurla Complex, Bandra (East), Mumbai - 400 051.
Company Code No. 531595	Company Code CGCL

Dear Sir / Madam,

Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 7 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained by the Company's Registrar and Share Transfer Agent, viz., M/s. Link Intime India Private Limited, which is a SEBI approved Category-I, Registrar & Transfer Agent, registered with Securities and Exchange Board of India (SEBI Registration Number : INR000004058).

For Capri Global Capital Limited


Harish Agrawal
Compliance Officer

For M/s. Link Intime India Private Limited


Authorized Representative



Capri Global Capital Limited

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013.

Tel: +91 22 4088 8100/4354 8200; E-mail: contact@cgcl.co.in; Website: www.cgcl.co.in



Date: October 9, 2017

To,

The Secretary BOMBAY STOCK EXCHANGE LIMITED P J Towers Dalal Street, Fort, Mumbai 400 001	NATIONAL STOCK EXCHANGE OF INDIA LIMITED Listing Department Exchange Plaza, 5 th Floor, Bandra-kurla Complex, Bandra (East), Mumbai – 400 051.
Company Code No. 531595	Company Code CGCL

Dear Sir,

Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are furnishing herewith details of investor grievance of the Company for the quarter ended September 30, 2017.

SCORES Registration ID*	m00263
No. of investor complaints pending at the beginning of quarter	Nil
No. of investor complaints received during the quarter	Nil
No. of investor complaints disposed off during the quarter	Nil
No. of investor complaints those remaining unresolved at the end of the quarter	Nil

Thanking you,

Yours faithfully,
for Capri Global Capital Limited

(Harish Agrawal)
Senior Vice President & Company Secretary



Capri Global Capital Limited

(CIN: L65921MH1994PLC173469)

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DM & ASSOCIATES COMPANY SECRETARIES LLP
(LLPIN NO. AAI-4743)

REGD. OFFICE: # 205, NADIADWALA MARKET, PODDAR ROAD, MALAD (EAST), MUMBAI-400097
Tel No. : 022-65643641 Email: dinesh.deora@yahoo.com

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS,2015**

FOR THE HALF YEAR ENDED SEPTEMBER 30, 2017

We have examined all documents for Share Transfer relating to CAPRI GLOBAL CAPITAL LIMITED (Company) maintained by LINK INTIME INDIA PRIVATE LIMITED (RTA) pertaining to transfer of Equity Shares of the Company for the period from 1st April, 2017 to 30th September, 2017 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Based on the information provided by the Company and RTA, we hereby certify that during the period under review there were no physical transfer of shares, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment consequent to that there was no requirement to issue certificate(s) to the shareholders.

Date: 10-10-2017
Place: Mumbai



Dinesh Kumar Deora-Partner
DM & Associates Company Secretaries LLP
Practising Company Secretaries
Membership No F5683 COP 4119

(LLPIN NO. AAI-4743)

Tel No. : 022-65643641

Email: dinesh.deora@yahoo.com

To,
The Board of Directors
Capri Global Capital Limited
502, Tower A, Peninsula Business Park,
Senapati Bapat Marg, Lower Parel, Mumbai 400 013 .

We have examined the Register of Members, beneficiary details furnished by the Depositories and other records/ documents maintained by **Capri Global Capital Limited** (hereinafter referred to as “the Company”) and its Registrars and Share Transfer Agents, **Link Intime India Private Limited**, for issuing this certificate, in accordance with Regulation 55A of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 1996.

In our opinion and to the best of our knowledge and according to the information and explanations given to us and based on such verification as considered necessary, we hereby certify the following in respect of the quarter ended **30th September, 2017**:

1	For Quarter Ended	30th September, 2017	
2	ISIN:	INE180C01026	
3	Face Value:	Rs.2/-	
4	Name of the Company	Capri Global Capital Limited	
5	Registered Office Address	502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400 013	
6	Correspondence Address	Same As Above	
7	Telephone & Fax Nos.	Tel No.-(022)-43548200 Fax No.-(022)-40888170	
8	Email address	investor.relation@cgcl.co.in	
9	Names of the Stock Exchanges where the company's securities are listed:	1. BSE Limited 2. National Stock Exchange of India Limited	
10	Issued Capital	Number of shares	% of Total Issued Cap
11	Listed Capital (Exchange wise)	175134805	100%
12	Held In dematerialized form in CDSL	15958470	9.11%
13	Held In dematerialized form in NSDL	159106425	90.85%
14	Physical	69910	0.04%
15	Total No. of shares (12+13+14)	175134805	100.00%
16	Reasons for difference if any, between (10 & 11), (10&15), (11&15):	N.A	



17 Certifying the details of changes in share capital during the quarter under consideration as per table below:-

N.A

Particulars	No. of shares	Applied/ Not applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimate d to CDSL	Whether intimate d to NSDL	In-prin. Approval pending for SE (Specify Names)
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18 Register of Members is updated (Yes/No)

If not, updated up to which date

Yes

19 Reference of previous quarter with regards to excess dematerialized shares, if any

NIL

20 Has the company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why?

N/A

21 Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay :

Total No. of demat/remat requests	No. of requests	No. of shares	Reasons for delay
Confirmed after 21 days	Nil	Nil	N.A
Pending for more that 21 days	Nil	Nil	N.A

22	Name, Telephone & Fax No. of the Compliance Officer of the Company.	Mr. Harish Agrawal Compliance Officer Tel/Fax: (022)-40888104
23	Name, Address, Tel. & Fax No., Regn. No. of the Auditor	Dinesh Kumar Deora-Partner DM & Associates Company Secretaries LLP Practising Company Secretaries #205, 2 nd Floor, Nadiadwala Market, Poddar Road, Malad East, Mumbai-400097 Tel. Nos. 022-65643641 Membership No. FCS 5683 COP No. 4119
24	Appointment of Common Agency for share registry work	Link Intime India Private Limited C 101, 247 Park, L B S Marg, Vikhroli West, Mumbai 400 083 Tel: 022 49186000 Fax: 022 49186060

25 Any other details that the auditor may like to provide : Nil



Signature

Dinesh Kumar Deora-Partner

DM & Associates Company Secretaries LLP

Practising Company Secretaries

FCS 5683 COP No. 4119

Place: Mumbai

Date: 10-10-2017



November 8, 2017

To,

BSE LIMITED P J Towers Dalal Street, Fort, Mumbai 400 001	NATIONAL STOCK EXCHANGE OF INDIA LIMITED Listing Department Exchange Plaza, 5 th Floor, Bandra-kurla Complex, Bandra (East), Mumbai - 400 051
Company Code No. : 531595	Company Code : CGCL

Ref: Our letter dated November 6, 2017

Dear Sir / Madam,

Sub: Debenture Trustee Certificate pursuant to Regulation 52 (5) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

With reference to our above referred letter and pursuant to Regulation 52 (5) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are attaching herewith a Certificate issued by our Debenture Trustees (IDBI Trusteeship Services Ltd.).

Request you to please take the same on record.

Thanking you,
Yours faithfully,

for **Capri Global Capital Limited**




(Harish Agrawal)
Senior Vice President & Company Secretary

Encl.: a/a

Capri Global Capital Limited

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.
Tel: +91 22 4088 8100/4354 8200; E-mail: contact@cgcl.co.in; Website: www.cgcl.co.in

November 8, 2017

To,

Capri Global Capital Limited
502 Tower A, Peninsula Business Park,
Senapati Bapat Marg,
Lower Parel,
Mumbai - 400 013

Dear Sir,

**Ref: Regulation 52(5) of SEBI (Listing Obligation & Disclosure Requirements) –
Submission of Half/ Yearly Results by the Company.**

We are acting in capacity of Debenture Trustee for Non-Convertible Debentures issued by Capri Global Capital Limited.

With reference to above we are in receipt of email for submission of Half Yearly Results to the Stock Exchange.

Pursuant to Regulation 52(5) of SEBI (Listing Obligation & Disclosure Requirements), **"We hereby certify that we have taken a note of the contents of the reports submitted to us by the company for the half year ended September 30, 2017".**

Thanking You,

Yours Faithfully
For IDBI Trusteeship Services Limited,



Authorised Signatory